

## INDIVIDUAL ACCOUNT UPDATE FORM

Please read the Terms & Conditions before filling this Form. Please complete this form in BLOCK LETTERS and mark (X) wherever applicable.

*This form can only be used for updating the particulars of your Trading Account opened with KIBB.*

*If you require the changes to also be made to your CDS Account, you are required to complete the CDS Account Update Form.*

*In order to keep your records up to date, it is **compulsory** for you to provide the latest information on all of the below mentioned **key information fields** marked with (#)*

1. # NAME: \_\_\_\_\_  
(As per NRIC/MYPR/Passport)

2. # FORM OF IDENTIFICATION "ID" (for update ID please attach a copy of your new NRIC/MYPR/Passport)

NRIC  MYPR  Passport

# NRIC/MYPR/PASSPORT NO : \_\_\_\_\_ Passport Expiry Date: \_\_\_\_\_ Date of Birth : \_\_\_\_\_

3. # Tax Residence :  Malaysian  Non Malaysian  Both Country : \_\_\_\_\_

# Tax Identification Number (TIN): \_\_\_\_\_

If TIN No. is unavailable, please state the reason:

The country/jurisdiction of tax residence does not issue TINs to its residents

No TIN is required. (Note: Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of TIN issued by such jurisdiction)

Other reason: \_\_\_\_\_

4. Sales and Service Tax No (SST No): \_\_\_\_\_ (if any)

5. # Trading Acc. No.: \_\_\_\_\_ Trading Acc. No.: \_\_\_\_\_

CDS Acc. No.: \_\_\_\_\_ CDS Acc. No.: \_\_\_\_\_

If you require changes to CDS tagging, please select (as may be applicable)

Tag My Account to Existing CDS Account

Tag My Account to New CDS Account

Remarks for CDS tagging: \_\_\_\_\_

6. Change of Address  Permanent Address (please provide NRIC)  Mailing Address

New Address

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\*Note: For Malaysian Residents, the changes to Permanent Address must strictly follow NRIC, and must be supported by a copy of the latest NRIC

7. # CONTACT DETAILS (to be updated on regular basis – indicate no change if information is updated)

a) Email Address: \_\_\_\_\_

b) Mobile Number: \_\_\_\_\_

c) Mode of Delivery for Contract Note/Statement etc. (choose one only)

Email (No Charges)

Postal (Charges apply\*)

\*By ticking postal mode delivery, I authorize KIBB to impose the related postage fee & other charges to my Account.

## 8. # Bank Account Information – MYR Currency (indicate no change if already updated / latest)

\*Note: You can register up to 2 MYR denominated and 1 Foreign Currency denominated Bank Accounts]

### Local Bank Account Details – 1

Add  Remove  No Changes

a) Bank Account Name: \_\_\_\_\_

b) Bank Name: \_\_\_\_\_

c) Account Number: \_\_\_\_\_

d) If Joint Account, State the Name of Joint Holder (if applicable):  
\_\_\_\_\_

### Local Bank Account Details – 2

Add  Remove  No Changes

a) Bank Account Name: \_\_\_\_\_

b) Bank Name: \_\_\_\_\_

c) Account Number: \_\_\_\_\_

d) If Joint Account, State the Name of Joint Holder (if applicable):  
\_\_\_\_\_

### Foreign Currency denominated Bank Accounts

Add  Remove  No Changes

Bank Account Information – Foreign Currencies (if applicable):  USD  SGD  Others \_\_\_\_\_

a) Bank Account Name: \_\_\_\_\_

b) Bank Name: \_\_\_\_\_

c) Account Number: \_\_\_\_\_

d) Bank Swift Code: \_\_\_\_\_

If Joint Account, State the Name of Joint Holder: \_\_\_\_\_

\*Note: Please attach a copy of your bank statement when updating bank account information for foreign currency bank account.

## 9. # EMPLOYMENT DETAILS

Occupation/Designation: \_\_\_\_\_

Employer/ Business name/ College (if student): \_\_\_\_\_

Type of Business/ Industry: \_\_\_\_\_

Annual Income (RM)  
(please select either one)

< RM 12,000  RM 12,001 – RM 30,000  RM 30,001 – RM 60,000  RM 60,001 – RM 90,000  
 RM 90,001 – RM 120,000  RM 120,001 – RM 240,000  > RM 240,000

Estimated Net worth (RM)  
(please select either one)

< RM 50,000  RM 50,001 – RM 100,000  RM 100,001 – RM 200,000  RM 200,001 – RM 500,000  
 RM 500,001 – RM 1 Million  > RM 1 Million – RM 3 Million  > RM 3 Million

## 10. # ONLINE TRADING SERVICES “KENTRADE”

KIBB provides access to KenTrade, an online trading services platform by default to all individual clients. Ken Trade’s services include but are not limited to price viewing, making deposits, requesting withdrawals, viewing and downloading contract notes and monthly statements, and conducting online trading. If you prefer not to use the online trading function, you can deselect it when activating KenTrade. KenTrade access can be activated using the link provided in the email notification sent to your registered email address. You are recommended to register and activate your KenTrade ID immediately upon receiving the email. By subscribing to KenTrade, you are responsible for promptly activating your KenTrade to secure your account. KIBB shall not be liable if you fail to activate KenTrade in a timely manner.

I wish to register for KenTrade.

I do not wish to register for KenTrade and will not apply for any KenTrade ID, for reasons stated here (please state):  
\_\_\_\_\_

<sup>1</sup>By subscribing to KenTrade, you are deemed to have consented to also receive trading statements, communications, and notices from KIBB via KenTrade.

## 11. # DOMESTIC CREDIT FACILITY (DCF)

**Declaration of Domestic Credit Facility (at current date) as defined under Foreign Exchange Policy Notices of Bank Negara Malaysia (FEP Notices):**

I do **not have** any domestic credit facility as defined under the FEP Notices.

I do **have** domestic credit facility as defined under the FEP Notices.

**Declaration of Exposure Limits in Foreign Investments (in RM equivalent) for the current calendar year-to-date:**

- Currently Invested: RM \_\_\_\_\_
- Remaining balance for investment: RM \_\_\_\_\_

## PRODUCTS & FACILITIES UPDATE

This section is applicable for request(s) to make changes to existing products/facilities: -

<b>12. SUBSCRIPTION FOR TRADING IN FOREIGN MARKET</b>	<input type="checkbox"/> ACTIVATE	<input type="checkbox"/> DEACTIVATE
<b>Note : For trading in US / Canada Shares please submit W-8BEN-E or W-9</b>		
▪ Settlement options	<input type="checkbox"/> RM	<input type="checkbox"/> Foreign Base Currency
▪ Online Access for Foreign Market	<input type="checkbox"/> ACTIVATE	<input type="checkbox"/> DEACTIVATE
▪ Limit Allocation	LOCAL _____ %	Global _____ %
<i>(required to complete this section only if you wish to trade in foreign markets)</i>		

<b>13. CONVERSION OF PRODUCTS</b>	<b>Current Product:</b> _____		
<b>New Product:</b>	<input type="checkbox"/> Retail	<input type="checkbox"/> Collateralised	<input type="checkbox"/> Cash Upfront

<b>14. Discretionary Financing (DF):</b>	<input type="checkbox"/> ACTIVATE	<input type="checkbox"/> DEACTIVATE
Applicable for Collateralised Account with Auto Trust		

<b>15. Intraday Option:</b>	<input type="checkbox"/> FIFO	<input type="checkbox"/> T+2	<input type="checkbox"/> T+3	<input type="checkbox"/> No intraday
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<b>16. Auto Set off of Trust Monies against Purchase:</b>	<input type="checkbox"/> ACTIVATE	<input type="checkbox"/> DEACTIVATE
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<b>17. Trading in Leap Market:</b>	<input type="checkbox"/> ACTIVATE	<input type="checkbox"/> DEACTIVATE
<small>(Mandatory to execute LEAP Market Risk Disclosure Statement for qualified Sophisticated Investor<sup>1</sup>)</small>		
<small><sup>1</sup>Sophisticated Investor under Part 1 of Schedules 6 and 7 of the Capital Markets and Services Act 2007, or Bursa's Rules 16.02 (2)(b) and (3)(c)</small>		

<b>18. Intraday Short Selling ('IDSS'):</b>	<input type="checkbox"/> ACTIVATE	<input type="checkbox"/> DEACTIVATE
<small>(Mandatory to execute IDSS Risk Disclosure Statement &amp; SBL Agreement)</small>		

<b>19. Securities Borrowing &amp; Lending ('SBL'):</b>	<input type="checkbox"/> Borrowing only	<input type="checkbox"/> Lending only	<input type="checkbox"/> Borrowing & Lending
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## 20. Request for Other Services (please elaborate)

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## TERMS & CONDITIONS/DECLARATION BY CUSTOMER

1. I have read, understand and acknowledge the relevant terms and conditions governing the above mentioned accounts and/or facilities and fully accept and agree to be continued to be bound by the said terms, including the terms in the Customer Information Form ("CIF") and the \*Client Account Common Terms and Conditions, or the \*Client Account Common Terms and Conditions for Islamic Stockbroking, or the \*Terms and Conditions governing Securities Borrowing and Lending products and facilities. (\*where applicable).
2. I hereby confirm my account update as stated in this form, and I hereby agree with the following:
  - a) All receipt and payment for local and/or foreign currencies for global (foreign shares) trading must be remitted via interbank / telegraphic transfer to "Kenanga Investment Bank Berhad" only. Any bank charges shall be borne by me. Foreign currency cash/cheque is not acceptable over the counter.
  - b) I will instruct KIBB by giving one (1) market day advance notice for any cross-currency settlement / withdrawal of trust monies in foreign currency and KIBB shall effect the remittance transaction based on the relevant currency conversion rate.
  - c) To abide by KIBB's internal policies, the applicable rules and regulations imposed by the regulators and any amendments or variation thereof.
  - d) To bear any fees, charges and / or penalties which may be charged by any agent banks or banking institutions should I remit foreign currency inward or outward via interbank/telegraphic transfers.
3. Electronic Statement: I accept and assume the inherent risks that are associated with receiving electronic information. I may revoke my consent to receive an e-statement at any time by giving KIBB a written notice within seven (7) days.
4. Change of Dealer's Representative: I confirm that if there is an outstanding balance as stated under my account maintained with KIBB as at to-date, I agree that KIBB reserves to withhold the change request until the outstanding balance in my account is fully settled.
5. I hereby instruct/authorize KIBB to credit all my sale proceeds, dividend and net contra gains, whichever is applicable due to me after deducting all outstanding charges, contra losses etc arising from my transactions effected through my trading account shall be auto credited to my trust account. This standing instruction shall take effect until I expressly revoke it by giving KIBB written notice within seven (7) market days
6. In consideration of my instruction/authorization for my trust withdrawal to be credited to the bank account(s) provided by me, I undertake and agree to indemnify KIBB from and against all actions, demands, losses, damages, costs, charges and/or expenses which KIBB may sustain, incur and/or be liable in consequence of, attribute to or arising from KIBB performing the aforesaid request/instruction or otherwise in relation thereto. I agree that in the event the payment into my bank account cannot be effected due to unforeseen circumstances, KIBB may credit the payment into my trust account with KIBB without KIBB having to consult or confirm with me. KIBB has the right to reject my instruction in the event it is found that the bank account(s) as provided by me is a third-party account.
7. I hereby provide my consent to, and agree that, KIBB shall be entitled to co-mingle all or part of monies deposited by me for KIBB to hold and to utilize for payment of all such amount that may be due from me from time to time under my trading account(s) with KIBB for trading purposes ("Deposit"), with other sums that KIBB may hold for other clients in trust account(s) with financial institution(s) of its choice. I shall be entitled to receive interest / profit earned on the Deposit at the rate prescribed by KIBB in its sole and absolute discretion ("Interest Earned"), if I maintain minimum Deposit of a sum as prescribed and notified by KIBB ("Minimum Amount"). I further consent and agree that KIBB shall be entitled to keep the following interest / profit earned to defray KIBB's administrative costs:
  - a) in the event where my Deposit is above the Minimum Amount, all the interest / profit earned from the Deposit exceeding the Interest Earned; and
  - b) in the event where my Deposit is the Minimum Amount or below, all interest / profit earned on my Deposit.
8. I hereby declare that all the particulars and information including any relevant document provided by me in this Individual Account Update Form are true and correct and I have not withheld any material facts or information from KIBB. I undertake to furnish KIBB with such additional particulars including any relevant document as KIBB may require from time to time. I further authorise KIBB to verify the information given from any source (including third parties) and in such manner as KIBB shall deem fit. I undertake to inform KIBB Group in writing should there be any change(s) to my particulars declared in this Individual Account Update Form.  
\* The full Client Account Common Terms and Conditions can be accessed at <https://www.kentrade.com.my/terms-conditions>

**Note:**

"KIBB" means Kenanga Investment Bank Berhad (197301002193 (15678-H)) including branches and shall include its respective successors in title and assigns.  
"Beneficial Owner", "Authorised Nominee" and "Exempt Authorised Nominee" have the meaning as in the Securities Industry (Central Depositories) Act 1991 and the Depository Rules.

**Note:**

Any change request to mobile number, email or mailing address will require further callback verification to be performed by the Branch Operations staff before processing the request, unless the change request is made in person by the client at the Branch Office premises.

**Witness (Remisier/Dealer/Branch Operations)**

Client Name: \_\_\_\_\_

Witness Name: \_\_\_\_\_

Client Signature: \_\_\_\_\_

Witness Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**FOR OFFICE USE ONLY**

Callback Verification performed by (if applicable): \_\_\_\_\_

Date: \_\_\_\_\_

Verified By: \_\_\_\_\_

Approved By: \_\_\_\_\_

Data Entry By: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_